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May 2, 2001

U.S. Patent and Trademark Office  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

Re: Filing Receipt Correction for  
Serial No.: 09/784,654

To Whom It May Concern:

Enclosed please find a copy of the filing receipt sent to my office on the above referenced patent application. Please make the correction to the inventor's name as indicated on the filing receipt and issue a new corrected filing receipt.

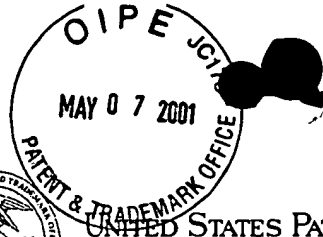
Thank you for your assistance in this matter. If you should have any questions, please feel free to call me.

Sincerely,



Joseph N. Breaux

JNB:ahg  
Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/784,654	02/15/2001	2632	0.00	01-0214	3	1	1

CONFIRMATION NO. 5564

## FILING RECEIPT



\*OC000000005867910\*

Joseph N. Breaux  
Suite A  
10630 N. Oak Hills Pkwy.  
Baton Rouge, LA 70810

Date Mailed: 03/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas A. Gonzales Residence Not Provided;

Continuing Data as Claimed by Applicant

*Gonzalez*

## Foreign Applications

If Required, Foreign Filing License Granted 03/14/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Child alert system

## Preliminary Class

340

Data entry by : NGUYEN, NGAN

Team : OIPE

Date: 03/15/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

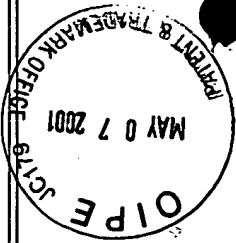
**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



sector \$  
#3

IN THE UNITED STATES OF AMERICA  
PATENT AND TRADEMARK OFFICE

5 APPLICANT: GONZALEZ

TITLE: CHILD ALERT SYSTEM

10 Ser. No.: 09/784,654

RESPONSE DUE: 05/15/01

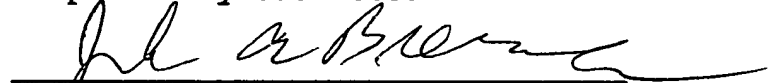
15 COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION DATED 03/15/01

20 In response to the NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION applicant has attached hereto the  
following:

- 1) VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS;
- 2) COMBINED DECLARATION AND POWER OF ATTORNEY;
- 25 3) a copy of the NOTICE TO FILE MISSING PARTS;
- 4) a certificate of mailing;
- 5) check #2959 for the filing fee in the amount of \$355.00;
- 30 6) check #2958 for the surcharge in the amount of \$65.00; and
- 7) a return postcard.

Respectfully submitted:

35 

Joseph N. Breaux, Reg. No. 36,462  
10630 N. Oak Hills Pkwy., Ste. A  
Baton Rouge, LA 70810  
(225) 769-8500  
40 Attorney for Applicant



IN THE UNITED STATES OF AMERICA  
PATENT AND TRADEMARK OFFICE

APPLICANT: Gonzalez  
TITLE: CHILD ALERT SYSTEM  
ATTORNEY DOCKET NO.: 01-0214  
SERIAL NO.: 09/784,654  
ART UNIT: 2632

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper attached hereto or enclosed herewith) is being deposited on the date shown below with the U.S. Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

☒ 37 CFR 1.8(a) with sufficient postage as first class mail;

☐ 37 CFR 01.10 as "Express Mail Post Office to Addressee" Mailing Label No. \_\_\_\_\_

- 1) Response to Notice to File Missing Parts Of Nonprovisional Application;
- 2) A Verified Statement Claiming Small Entity Status;
- 3) A Combined Declaration and Power of Attorney;
- 4) A Copy of the Notice to File Missing Parts;
- 5) A Letter to Customer Service Center Requesting Corrections to Inventor's Name;
- 6) A Check in the Amount of \$355.00; and
- 7) A Check in the Amount of \$65.00.

Date May 2, 2001

  
JOSEPH N. BREAU



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/784,654	02/15/2001	Thomas A. Gonzales	01-0214

CONFIRMATION NO. 5564

## FORMALITIES LETTER



\*OC00000005867911\*

Joseph N. Breaux  
Suite A  
10630 N. Oak Hills Pkwy.  
Baton Rouge, LA 70810

Date Mailed: 03/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (705) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/09/2001 KKHAN1 00000049 09784654

01 FC:201  
02 FC:205

355.00 OP  
65.00 OP